Docket No.

264520US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

IN RE APPLICATION OF:

Karl HAEBERLE, et al.

SERIAL NO: New

New U.S. PCT Application Based on PCT/EP03/08095

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

WATER EMULSIFABLE ISOCYANATES HAVING IMPROVED PROPERTIES

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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22850

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SHEET 1 OF 1

APPLICANT   ART   ART	Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 264520US0PCT		New U.S. PCT Application Based on PCT/EP03/08095			
LIST OF REFERENCES CITED BY APPLICANT   FILING DATE   FILING DATE   Herewitth   FILING DATE   SUB   FILING DATE   FILING									
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AG 0 959 087 11/24/99 EP (equivalent of US 6426414) NO  AH 0 206 059 12/30/86 EP (equivalent of US 4663377) NO  AI 0 540 985 05/12/93 EP (equivalent of US 5252696) NO  AJ 199 58 170 06/07/01 EP (equivalent of US 5252696) NO  AJ 199 58 170 06/07/01 EP (equivalent of US 5252696) NO  AK 198 22 890 11/25/99 DE (equivalent of US 6204323) NO  AK 198 47 077 11/25/99 DE (equivalent of US 6204323) NO  AM 0 754 713 01/22/97 EP (equivalent of US 6426414) NO  AN 100 07 820 08/23/01 DE (equivalent of US 5780542) NO  AN 100 07 820 08/23/01 DE (equivalent of US 5473011) NO  AO 41 13 160 10/29/92 DE (equivalent of US 5473011) NO  AP 0 728 785 08/28/96 EP (equivalent of US 573396) NO  AR 0 546 669 06/30/93 EP (equivalent of US 5334637) NO  AS 0 582 166 02/09/94 EP (equivalent of US 5334637) NO  AT 0 531 820 03/17/33 EP (equivalent of US 5503714) NO  AT 0 703 255 03/27/96 EP (equivalent of US 558852) NO  AU 197 24 199 12/10/98 DE (equivalent of US 5583176) NO  AV 0 703 255 03/27/96 EP (equivalent of US 5583176) NO  AV 0 697 424 02/21/96 EP (equivalent of US 558452) NO  AV 0 697 424 02/21/96 EP (equivalent of US 558452) NO  AX Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196  AX 1996  AX 1996  AX 1996  AX 2		AE	01 38415	05/31/01					NO
AH 0 206 059 12/30/96 EP (equivalent of US 4663377) NO  AI 0 540 985 05/12/93 EP (equivalent of US 5252696) NO  AJ 199 58 170 06/07/01 DE (with English abstract & equivalent of EP 1276787 - with English abstract & equivalent of EP 127678 - with English abstract & equivalent of EP 127678 - with English abstract & equivalent of EP 127678 - with English abstract & equivalent of EP 127678 - with English abstract & equivalent of US 6204323) NO  AK 198 22 890 11/25/99 DE (equivalent of US 6204323) NO  AL 198 47 077 11/25/99 DE (equivalent of US 6426414) NO  AM 0 754 713 01/22/97 EP (equivalent of US 6426414) NO  AM 0 754 713 01/22/97 EP (equivalent of US 54780542) NO  AN 100 07 820 08/23/01 DE (equivalent of US 5473011) NO  AO 41 13 160 10/29/92 DE (equivalent of US 5473011) NO  AP 0 728 785 08/28/96 EP (equivalent of US 5731396) NO  AQ 40 01 783 07/25/91 DE (equivalent of US 598983) NO  AR 0 548 669 06/30/93 EP (equivalent of US 598983) NO  AS 0 582 166 02/09/94 EP (equivalent of US 5533714) NO  AT 0 531 820 03/17/96 EP (equivalent of US 5258452) NO  AU 197 24 199 12/10/98 98/56843 - with English abstract & equivalent of WO 98/56843 - with English abstract) NO  AV 0 703 255 03/27/96 EP (equivalent of US 5583176) NO  AV 0 697 424 02/21/96 EP (equivalent of US 5583176) NO  AX 1996  AX Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196  AX 1996  Examiner  Date Considered  *Examiner Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AF	0 486 881	05/27/92	EP (equivalent of US 5387367)				NO
AI   0.540 985   0.5/12/93   EP (equivalent of US 5252696)   NO     AJ   199 58 170   0.6/07/01   DE (with English abstract & equivalent of   NO     AK   198 22 890   11/25/99   DE (equivalent of US 6204323)   NO     AL   198 47 077   11/25/99   DE (equivalent of US 6426414)   NO     AM   0.754 713   0.1/22/97   EP (equivalent of US 5780542)   NO     AN   100 07 820   0.8/23/01   DE (equivalent of US 5780542)   NO     AO   41 13 160   10/29/92   DE (equivalent of US 5780542)   NO     AP   0.728 785   0.8/28/96   EP (equivalent of US 57301396)   NO     AQ   40 01 783   0.7/25/91   DE (equivalent of US 5098983)   NO     AR   0.548 669   0.6/30/93   EP (equivalent of US 5334637)   NO     AS   0.582 166   0.2/09/94   EP (equivalent of US 5533714)   NO     AT   0.531 820   0.3/17/93   EP (equivalent of US 5583422)   NO     AU   197 24 199   12/10/98   DE (equivalent of US 5583176)   NO     AV   0.703 255   0.3/27/96   EP (equivalent of US 5583176)   NO     AV   0.697 424   0.2/21/96   EP (equivalent of US 5583176)   NO     AX   Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196     AX   Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196     AX   Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196     Examiner   Date Considered   Pages   Page		AG	0 959 087	11/24/99	EP (equivalent of US 6426414)				NO
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AX Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196  AY AZ Additional References sheet(s) attached  Examiner Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AV	0 703 255	03/27/96	EP (equivalent of US 5583176)				NO
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New U.S. PCT Application Based on PCT/EP03/08095 Docket No.: <u>264520US0PCT</u>

# STATEMENT OF RELEVANCY

1) References <u>AE-AG</u> have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
3) References <u>AH-AX</u> are discussed in the specification. A copy of these references is being submitted here with.
4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.